Contextuality in logical form Duality for transitive partial CABAs



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Overview

Generalise Tarski duality to partial Boolean algebras

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Tarski duality between CABA and Set

- Simplest of dualities relating algebra and topology
- In logic, between syntax and semantics

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- Simplest of dualities relating algebra and topology
- In logic, between syntax and semantics
- partial Boolean algebras
 - Introduced by Kochen and Specker (1965)
 - algebraic-logic setting for contextual systems
 - original formulation of KS theorem

Motivation

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Locally compact Hausdorff spaces

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Commutative C*-algebras	Locally compact Hausdorff spaces
Boolean algebras	Stone spaces
finite Boolean algebras	finite sets
complete atomic Boolean algebras	sets

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 - ▶ take the set C(X) of continuous functions $X \longrightarrow \mathbb{K}$ to scalars \mathbb{K} .

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Here, I mean *commutativity* in a loose, informal sense. For lattices, this would be *distributivity* (think: idempotents of a ring).

John von Neumann (1932), 'Mathematische Grundlagen der Quantenmechanik'.



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Classical mechanics

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- By Gel'fand duality, these are algebras of continuous (or measurable) functions on topological spaces, the state spaces.



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- Measurements are self-adjoint operators.
- > Quantum properties or propositions are **projectors** (dichotomic measurements):

$$p: \mathcal{H} \longrightarrow \mathcal{H}$$
 s.t. $p = p^{\dagger} = p^2$

which correspond to closed subspaces of \mathcal{H} .



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- ▶ The lattice P(H), of projectors on a Hilbert space H, as a non-classical logic for QM.
- Interpret \land (infimum) and \lor (supremum) as logical operations.
- ► Distributivity fails: $p \land (q \lor r) \neq (p \land q) \lor (p \land r)$.
- ► Only commuting measurements can be performed together. So, what is the operational meaning of p ∧ q, when p and q do not commute?

An alternative approach

Kochen & Specker (1965), 'The problem of hidden variables in quantum mechanics'.



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Kochen (2015), 'A reconstruction of quantum mechanics'.

▶ Kochen develops a large part of foundations of quantum theory in this framework.

Boolean algebras

- Boolean algebra $\langle A, 0, 1, \neg, \lor, \land \rangle$:
- ▶ a set A
- ▶ constants $0, 1 \in A$
- a unary operation $\neg : A \longrightarrow A$
- \blacktriangleright binary operations $\lor, \land: A^2 \longrightarrow A$

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satisfying the usual axioms: $\langle A, \lor, 0 \rangle$ and $\langle A, \land, 1 \rangle$ are commutative monoids, \lor and \land distribute over each other, $a \lor \neg a = 1$ and $a \land \neg a = 0$.

E.g.: $\langle \mathcal{P}(X), \varnothing, X, \cup, \cap \rangle$, in particular $\mathbf{2} = \{0, 1\} \cong \mathcal{P}(\{\star\})$.

▶ a set A

- ► a reflexive, symmetric binary relation \odot on A, read commeasurability or compatibility
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E.g.: P(H), the projectors on a Hilbert space H. Conjunction, i.e. meet of projectors, becomes partial, defined only on **commuting** projectors.
Partial Boolean algebras Partial Boolean algebra $(A, \odot, 0, 1, \neg, \lor, \land)$:

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Morphisms of pBAs are maps preserving commeasurability, and the operations wherever defined. This gives the category **pBA**.

Kochen & Specker (1965).

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Spectrum of a pBA cannot have points...

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- ▶ Sets of jointly observable properties provide partial, classical snapshots.

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M. C. Escher, Ascending and Descending

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Local consistency

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- ▶ Sets of jointly observable properties provide partial, classical snapshots.



Local consistency but Global inconsistency

▶ Reyes (2012)



- Any extension of Zariski spectrum to a functor $\operatorname{Rng}^{\operatorname{op}} \longrightarrow \operatorname{Top}$ trivialises on $\mathbb{M}_n(\mathbb{C})$ $(n \geq 3)$.
- ▶ Similarly for extension of Gel'fand spectrum to noncommutative C*-algebras



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- ▶ Van den Berg & Heunen (2012, 2014)
 - Extend this to Stone and Pierce spectra
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'What is proved by impossibility proofs is lack of imagination.' – John S. Bell



Results





Partial Tarski duality



Recap: Tarski duality

Partial order

Let A be a Boolean algebra.

Definition For $a, b \in A$, we write $a \le b$ when one (hence all) of the following equivalent conditions hold:

- a ∧ b = a
 a ∨ b = b
- a ∧ ¬b = 0
- ¬a ∨ b = 1

 \leq is a partial order.

It determines A as a Boolean algebra: e.g. \lor (resp. \land) is supremum (resp. infimum) wrt \leq .

CABAs

Definition (Complete Boolean algebra)

A Boolean algebra *A* is said to be **complete** if any subset of elements $S \subseteq A$ has a supremum $\bigvee S$ in *A* (and consequently an infimum $\bigwedge S$, too). It thus has additional operations

$$\bigwedge,\bigvee:\mathcal{P}(\mathcal{A})\longrightarrow\mathcal{A}$$
.

Definition (Atomic Boolean algebra)

An **atom** of a Boolean algebra is a minimal non-zero element, i.e. an element $x \neq 0$ such that $a \leq x$ implies a = 0 or a = x.

A Boolean algebra *A* is called **atomic** if every non-zero element sits above an atom, i.e. for all $a \in A$ with $a \neq 0$ there is an atom *x* with $x \leq a$.

A CABA is a complete, atomic Boolean algebra.

CABAs

Example

Any finite Boolean algebra is trivially a CABA.

The powerset $\mathcal{P}(X)$ of an arbitrary set X is a CABA.

completeness: closed under arbitrary unions

• atoms: singletons $\{x\}$ for $x \in X$

This is in fact the 'only' (up to iso) example.

Proposition

In a CABA, every element is the join of the atoms below it:

$$a = \bigvee U_a \quad$$
 where $U_a := \{x \in A \mid x ext{ is an atom and } x \leq a\}$.

Proof.

Suppose $a \not\leq \bigvee U_a$, i.e. $a \land \neg \lor U_a \neq 0$. Atomicity implies there's an atom $x \leq a \land \neg \lor U_a$. On the one hand, $x \leq \neg \lor U_a$. On the other, $x \leq a$, i.e. $x \in U_a$, hence $x \leq \lor U_a$. Hence x = 0. \notin





- $\mathcal{P}: \textbf{Set}^{op} \longrightarrow \textbf{CABA}$ is the contravariant powerset functor:
- on objects: a set X is mapped to its powerset $\mathcal{P}X$ (a CABA).
- on morphisms: a function $f: X \longrightarrow Y$ yields a complete Boolean algebra homomorphism

$$\begin{aligned} \mathcal{P}(f) : \mathcal{P}(Y) &\longrightarrow \mathcal{P}(X) \\ (T \subseteq Y) &\longmapsto f^{-1}(T) = \{ x \in X \mid f(x) \in T \} \end{aligned}$$



At : **CABA**^{op} \longrightarrow **Set** is defined as follows:

- on objects: a CABA A is mapped to its set of atoms.
- on morphisms: a complete Boolean homomorphism $h : A \longrightarrow B$ yields a function

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mapping an atom *y* of *B* to the unique atom *x* of *A* such that $y \le h(x)$.



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Lemma Let $h : A \longrightarrow B$ in **CABA**. For all $y \in At(A)$, there is a unique $x \in At(A)$ with $y \le h(x)$.

Proof. Facts about atoms in any BA:

- If $x \neq x'$ are atoms, then $x \wedge_A x' = 0$.
- ▶ If *x* is an atom and $x \leq \bigvee S$, there is $a \in S$ with $x \leq a$.

Existence

A complete atomic implies $1_A = \bigvee At(A)$. Hence,

$$1_B = h(1_A) = h(\bigvee \mathsf{At}(A)) = \bigvee \{h(x) \mid x \in \mathsf{At}(A)\}$$

Since $y \leq 1_B$, we conclude $y \leq h(x)$ for some $x \in At(A)$.

Uniqueness

If $y \leq h(x)$ and $y \leq h(x')$, then $y \leq h(x) \wedge_B h(x') = h(x \wedge x')$, hence x = x'.

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• Given a CABA A, the isomorphism $A \cong \mathcal{P}(At(A))$ maps $a \in A$ to the set of elements

 $U_a = \{x \in \operatorname{At}(A) \mid x \leq a\}.$

A property is identified with the set of possible worlds in which it holds.

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▶ Given a set X, the bijection $X \cong At(\mathcal{P}(X))$ maps $x \in X$ to the singleton $\{x\}$, which is an atom of $\mathcal{P}(X)$.

A possible world is identified with its characteristic property (which fully determines it).

Duality for partial CABAs

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- $a \perp b$ is a weaker requirement than $a \wedge b = 0$.
- ▶ The two are equivalent in a Boolean algebra.
- But in a general partial Boolean algebra, there may be exclusive events that are not commeasurable (and for which, therefore, the ∧ operation is not defined).

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Definition

A is said to satisfy the **logical exclusivity principle (LEP)** if any two elements that are logically exclusive are also commeasurable, i.e. if $\bot \subseteq \odot$.

Note that \leq is always reflexive and antisymmetric.

Definition A partial Boolean algebra is said to be **transitive** if for all elements $a, b, c, a \le b$ and $b \le c$, then $a \le c$, i.e. \le is (globally) a partial order on A.

Proposition A partial Boolean algebra satisfies LEP if and only if it is transitive.

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Proposition A partial Boolean algebra satisfies LEP if and only if it is transitive.

We restrict atention to partial Boolean algebras satisfying LEP in this talk.

Theorem The category **epBA** of partial Boolean algebras satisfying LEP is a reflective subcategory of **pBA**, i.e. the inclusion functor $I : epBA \longrightarrow pBA$ has a left adjoint $X : pBA \longrightarrow epBA$.
Partial CABAs

Definition (partial complete BA)

A partial complete Boolean algebra is a pBA with an additional (partial) operation

$$\bigvee: \bigcirc \longrightarrow A$$

satisfying the following property: any set $S \in \bigcirc$ is contained in a set $T \in \bigcirc$ which forms a complete Boolean algebra under the restriction of the operations.

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Definition

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Given a vertex $x \in X$ and sets of vertices $S, T \subset X$, we write:

- x # S when for all $y \in S$, x # y;
- ▶ S # T when for all $x \in S$ and $y \in T$, x # y;
- ▶ $x^{\#} := \{y \in X \mid y \# x\}$ for the neighbourhood of the vertex *x*;
- ▶ $S^{\#} := \bigcap x \in Sx^{\#} = \{y \in X \mid y \# S\}$ for the common neighbourhood of the set *S*.

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A clique is a set of pairwise-adjacent vertices, i.e. a set $K \subset X$ with $x \# K \setminus \{x\}$ for all $x \in K$. A graph (X, #) has **finite clique cardinal** if all cliques are finite sets.

Definition (Graph of atoms)

The **graph of atoms** of a partial Boolean algebra *A*, denoted At(*A*), has as vertices the atoms of *A* and an edge between atoms *x* and *x'* if and only if $x \odot x'$ and $x \land x' = 0$.

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- At(A) is the set of atomic events with an exclusivity relation.
- ▶ Can interpret these as worlds of maximal information and incompatibility between them.

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The **graph of atoms** of a partial Boolean algebra *A*, denoted At(*A*), has as vertices the atoms of *A* and an edge between atoms *x* and *x'* if and only if $x \odot x'$ and $x \land x' = 0$.

- At(A) is the set of atomic events with an exclusivity relation.
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Recall that in a CABA, any element is uniquely written as a join of atoms, viz. $a = \bigvee U_a$ with

$$U_a := \{x \in \operatorname{At}(A) \mid x \leq a\}$$

In a pBA, U_a may not be pairwise commeasurable, hence their join need not even be defined.

Proposition Let A be a transitive partial CABA. For any element $a \in A$, it holds that $a = \bigvee K$ for any clique K of At(A) which is maximal in U_a .

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Proof. Let $a \in A$ and K be a clique of At(A) maximal in U_a .

Being a clique in At(A), $K \in \bigcirc$ and thus $\bigvee K$ is defined.

Since $K \subset U_a$, all $k \in K$ satisfy $k \le a$ and in particular $k \odot a$. Hence, $K \cup \{a\} \in \bigcirc$, implying that it is contained in a complete Boolean subalgebra. Consequently, $\bigvee K \le a$.

Now, suppose $a \leq \bigvee K$, i.e. $a \land \neg \bigvee K \neq 0$. Then atomicity implies there is an atom $x \leq a \land \neg \bigvee K$. By transitivity, $x \leq a$ and $x \leq \neg k$ (hence $x \perp k$) for all $k \in K$. This makes $K \cup \{x\}$ a clique of atoms contained in U_a , contradicting maximality of K.

So an element *a* is the join of **any** clique that is maximal in U_a .

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The key to reconstructing a partial CABA from its atoms lies in characterising such equalities,

Proposition Let K and L be cliques in At(A). Then $\bigvee K \leq \bigvee L$ iff $L^{\#} \subseteq K^{\#}$ iff $K \subseteq L^{\#\#}$. Corollary

 $\bigvee K = \bigvee \tilde{L} \text{ iff } K^{\#} = L^{\#}.$

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elements of A are in 1-to-1 correspondence with \equiv -equivalence classes of cliques of At(A).

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We can describe the algebraic structure of a partial CABA A from its graph of atoms:

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Which conditions on a graph (X, #) allow for such reconstruction?

Complete exclusivity graphs

Definition

A complete exclusivity graph is a graph (X, #) such that for K, L cliques and $x, y \in X$:

- 1. If $K \sqcup L$ is a maximal clique, then $K^{\#} \# L^{\#}$, i.e. x # K and y # L implies x # y.
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A helpful intuition is to see these as generalising sets with a \neq relation (the complete graph).

- A graph is symmetric and irreflexive.
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- A graph is symmetric and irreflexive.
- To be an inequivalence relation, we need cotransitivity: x # z implies x # y or x # z.
- Condition 1. is a weaker version of cotransitivity.
- ▶ Condition 2. eliminates redundant elements: cotransitive + 2. implies \neq .

Graph of atoms is complete exclusivity graph

Proposition

Let A be a partial Boolean algebra. Then At(A) is a complete exclusivity graph.

Proof. Let $K, L \subset X$ such that $K \sqcup L$ is a maximal clique, and let x, y be atoms of A. $c := \bigvee K = \neg \bigvee L$. x # K means $x \leq \neg \bigvee K = \neg c$ and x # L means $y \leq \neg \bigvee L = c$. By transitivity, we conclude that $x \odot y$,

What about morphisms?

Definition

A morphism $(X, \#) \longrightarrow (Y, \#)$ is a relation $R : X \longrightarrow Y$ satisfying:

- 1. x R y, x' R y', and y # y' implies x # x'
- 2. if K is a maximal clique in Y, $R^{-1}(K)$ contains a maximal clique.

3. for each
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- 3. trivialises.

Given $h : A \longrightarrow B$ define y R x iff $y \le h(x)$.

Morphisms of CE graphs and pCABA homomorphisms

Proposition

Let A and B be transitive partial CABAs. Given $h : A \longrightarrow B$ a partial complete Boolean algebra homomorphism, the relation $R_h : At(B) \longrightarrow At(A)$ given by

$$xR_hy$$
 iff $x \le h(y)$

is a morphism of complete exclusivity graphs. Moreover, the assignment $h \mapsto R_h$ is functorial.
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Let X and Y be complete exclusivity graphs. Given $R : X \longrightarrow Y$ a morphism of complete exclusivity graphs, the function $h_R : \mathcal{K}(Y) \longrightarrow \mathcal{K}(X)$ given by $h_R([K]) := [L]$ where L is any clique maximal in $R^{-1}(K)$ is a well-defined partial CABA homomorphism.

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Proposition

For any A and B be transitive partial CABAs, $epCABA(A, B) \cong XGph(At(B), At(A))$.

Global points

Homomorphism $A \longrightarrow 2$ corresponds to morphism $K_1 \longrightarrow At(A)$,

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- i.e. a subset of atoms of A satisfying:
- 1. it is an independent (or stable) set
- 2. it is a maximal clique transversal, i.e. it has a vertex in each maximal clique

Free-forgetful adjunction for CABAs



Free-forgetful adjunction for CABAs



- ▶ Under the duality, it corresponds to the contravariant powerset self-adjunction.
- It gives the construction of the free CABA as a double powerset.





• Universe of a pCABA is a reflexive (compability) graph $\langle A, \odot \rangle$



- ▶ Universe of a pCABA is a reflexive (compability) graph $\langle A, \odot \rangle$
- ▶ Under duality it corresponds to adjunction between **compatibility** and **exclusivity** graphs.
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- Universe of a pCABA is a reflexive (compability) graph $\langle A, \odot \rangle$
- Under duality it corresponds to adjunction between compatibility and exclusivity graphs.
- ▶ This gives a concrete construction of the free CABA. A compatibility $\langle P, \odot \rangle$ to a graph with vertices $\langle C, \gamma : C \longrightarrow \{0, 1\} \rangle$ where C maximal compatible set, and edges

 $\langle \mathbf{C}, \gamma \rangle \# \langle \mathbf{D}, \delta \rangle$ iff $\exists \mathbf{x} \in \mathbf{C} \cap \mathbf{D}. \ \gamma(\mathbf{x}) \neq \delta(\mathbf{x}).$

Outlook

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▶ However, not all #-closed sets are $K^{\#\#}$ for some clique K.

Can we characterise which ##-closed sets arise from cliques?





Drop transitivity / LEP



- Drop transitivity / LEP
- Relax binary to simplicial compatibility





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 Dropping completeness and atomicity (e.g. P(A) for vN algebra A with factor not of type I)



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 Dropping completeness and atomicity (e.g. P(A) for vN algebra A with factor not of type I)

→ analogues of Stone, Priestley, . . . Stone's motto: 'always topologise' – but how?





(Gudder, 1972)



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OLs ···· Minimal quantum logic (Dishkant, Goldblatt, Dalla Chiara, 1970s)



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Stone representation for OLs (Goldblatt, 1975)

- related to our construction
- all graphs, all nhood-regular sets
- nothing on morphisms

Towards noncommutative dualities?

Can one find a more encompassing duality theory for 'noncommutative' or 'quantum' structures by viewing them through multiple partial classical snapshots?



Questions...

